

12-13-04

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Pu Zhou

Serial No.: 10/615,651

Filing Date: July 9, 2003

Docket No.: 1001.1662101

For: METHOD OF FORMING CATHETER DISTAL TIP



Confirmation No.: 9310

Examiner: K. Sirmons

Group Art Unit: 3763

Customer No.: 28075

**TRANSMITTAL SHEET**

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: **EV 314496194 US**, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of December 2004.

By Kathleen L. Boekley  
 Kathleen L. Boekley

We are transmitting herewith the attached:

- [ ] Amendment  
 [ ] No additional claim fee required  
 [ ] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 25=	\$	X 50=	\$
INDEPENDENT CLAIMS	-	=		X 100=	\$	X 200 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 180 =	\$	+ 360 =	\$
TOTAL				\$		\$	

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

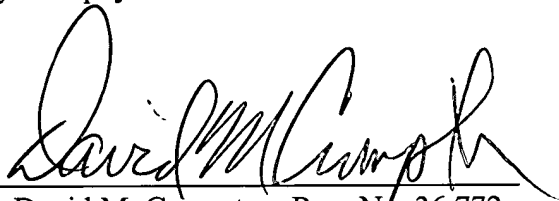
Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c)), CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e)(1)), FORM PTO-1449 AND 3 CITED NON-U.S. PATENT/PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772


David M. Crompton  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Pu Zhou  
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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF  
EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496194 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of December 2004.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first office

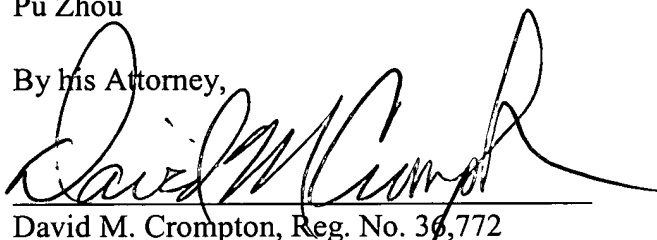
action on the merits, whichever event occurred last but before the mailing date of either a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first.

Accompanying this Information Disclosure Statement is a certification under 37 C.F.R. § 1.97(e)(1).

Respectfully submitted,

Pu Zhou

By his Attorney,

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
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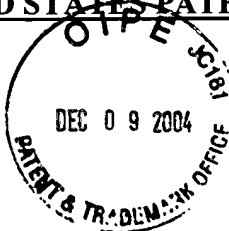
Date: 12/10/04

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. § 1.97(e)(1))**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496194 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10th day of December 2004.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

**Further, I state that the aforementioned foreign communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.**

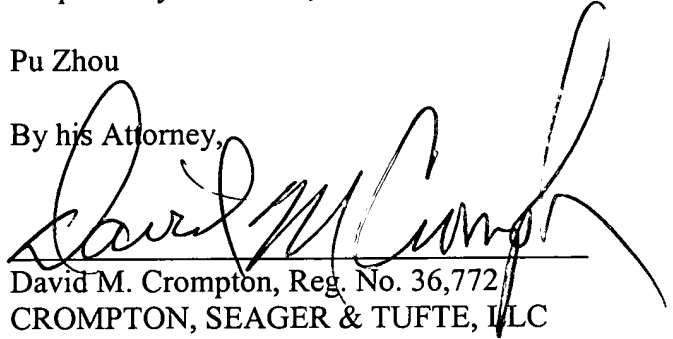
The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Date: 12/10/04

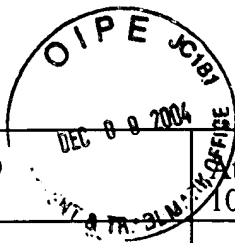
Respectfully submitted,

Pu Zhou

By his Attorney,

A handwritten signature in black ink, appearing to read "David M. Crompton", is written over a horizontal line.

David M. Crompton, Reg. No. 36,772  
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<b>FORM PTO-1449</b>		Attorney Docket No.: 1001.1662101	Serial No.: 10/615,651
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Pu Zhou	
		Confirmation No.: 9310	
		Filing Date: July 9, 2003	Group Art: 3763

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	4,321,226	03/23/1982	Markling	
AB	5,951,929	09/14/1999	Wilson	

### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
AD	EP 0 841 072 A2	05/13/1998	Europe	
AE	EP 1 123 714 A1	08/16/2001	Europe	
AF	WO 95/13110 A1	05/18/1995	WIPO	

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.